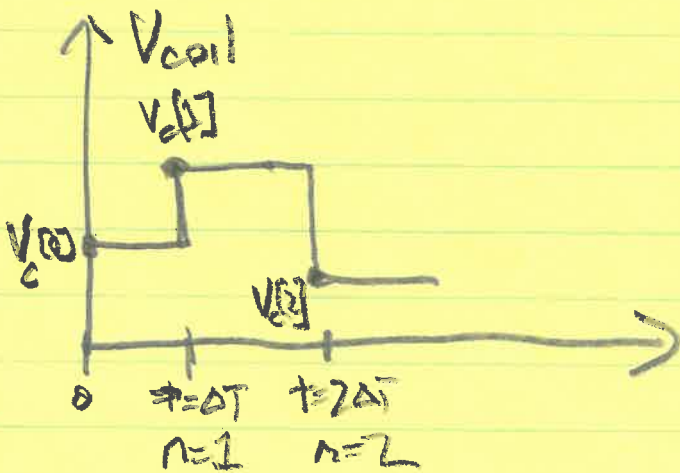
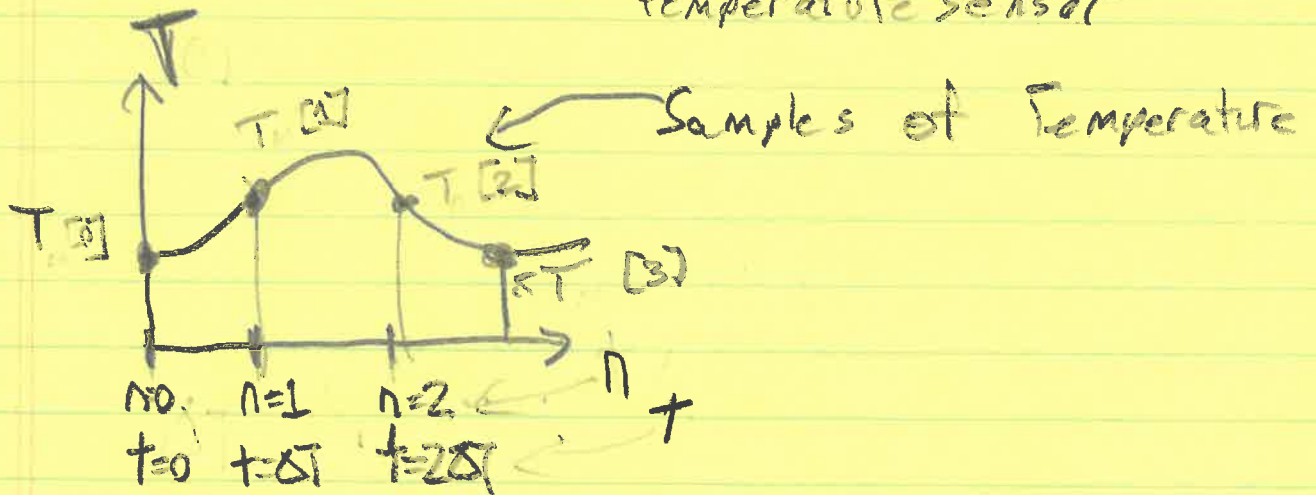
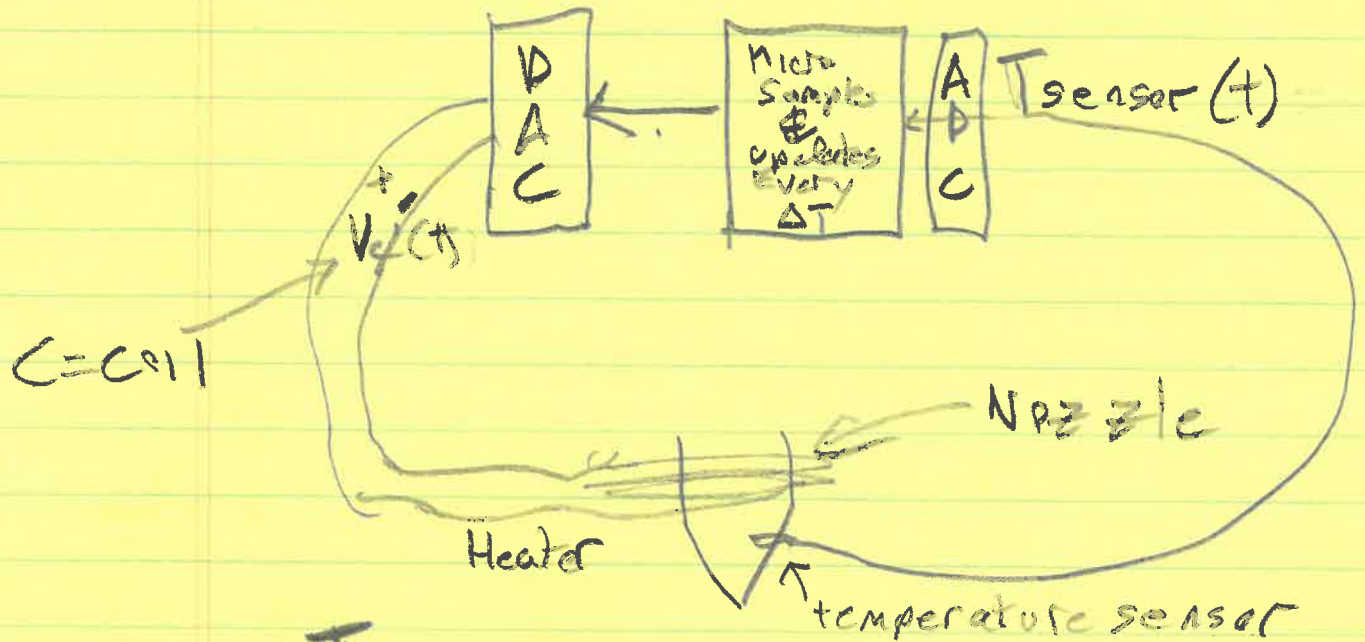


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3-D Printer Nozzle Temp
Microcontroller Approach



Right

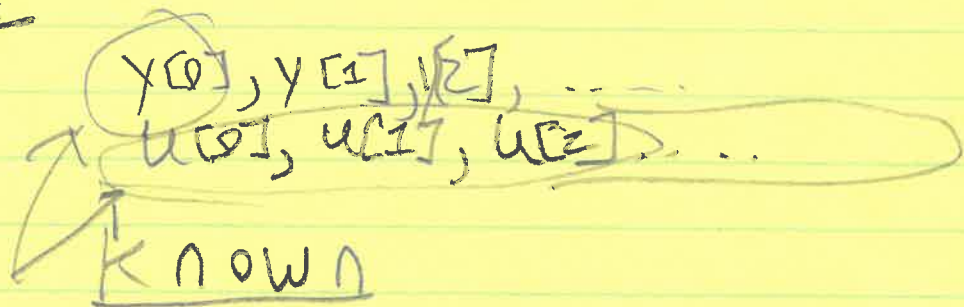
(1R)

General Theory

Sequences

"Output" →
Input →

$y[n]$
 $u[n]$



Evolution Equation

$$y[n] = \lambda y[n-1] + \gamma u[n-1]$$

$$y[1] = \lambda y[0] + \gamma u[0]$$

$$y[2] = \lambda y[1] + \gamma u[1] = \lambda^2 y[0] + \lambda \gamma u[0] + \gamma u[1]$$

$$y[3] = \lambda y[2] + \gamma u[2] = \lambda^3 y[0] + \lambda^2 \gamma u[0] + \lambda \gamma u[1] + \gamma u[2]$$

$$y[n] = \lambda^n y[0] + \gamma \left(\sum_{m=0}^{n-1} \lambda^{n-1-m} u[m] \right)$$

IF $u[n] = 0 \forall n$ ZIR ^{input}

IF $y[0] = 0$

ZSR ^{response}
↑ zero state

Linearity

$y_a[n]$ soln for $y[0] = 0$ $u[n] = u_a[n]$

$y_b[n]$ soln for $y[0] = 0$ $u[n] = u_b[n]$

$y_c[n]$ soln for $y[0] = y_c[0]$ $u[n] = 0$

$y_+[n] = ?$ if $u[n] = A u_a[n] + B u_b[n]$ $y[n] = y_c[n]$

Discrete-Time Model

Prop Control

$$U[n] = K_p (T_d[n] - T_m[n])$$

↑
↑

desired
measured

power $(F(V_{coil})) \leftarrow$ Why? linear easier to model

Heater Model $U[n] = \text{power} \propto$ ^{prop to} rate of nozzle temp change

$$T_m[n] \approx T_m[n-1] + \Delta T \gamma_{Th} U[n-1]$$

or

SUMMARIZES a LOT!

$$\frac{\Delta T}{\Delta t} \approx \frac{T_m[n] - T_m[n-1]}{\Delta T} = \gamma_{Th} U[n-1]$$

$$\frac{T_m[n] - T_m[n-1]}{\Delta T} = \gamma_{Th} K_p (T_d[n] - T_m[n-1])$$

$$T_m[n] = (1 - \Delta T \gamma_{Th} K_p) T_m[n-1] + \Delta T \gamma_{Th} K_p T_d[n-1]$$

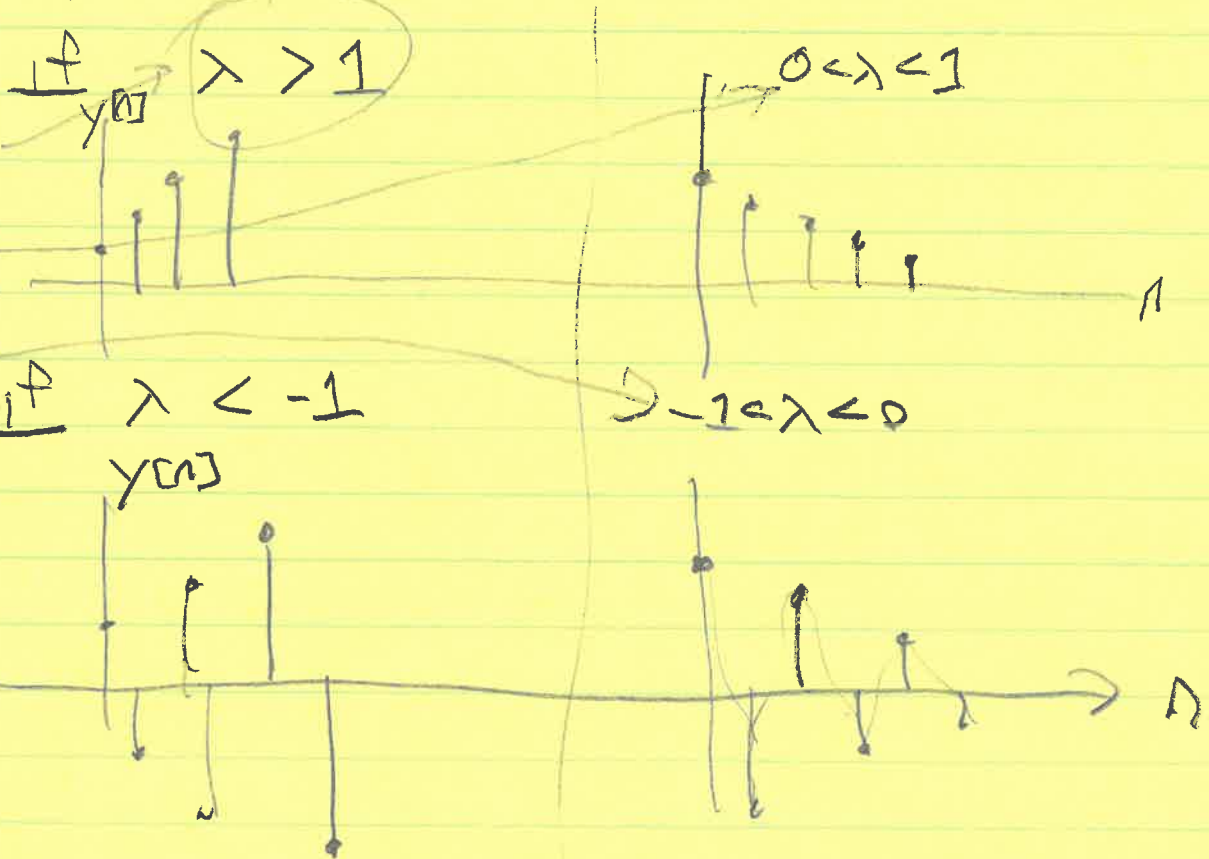
γ

Suppose $T_d = 0$ and $T_m[0] = 200$

(3) LR

ZIR

$$y[n] = \lambda^n y[0] \quad \lambda \text{ is real}$$



ZSA

Suppose $x[n] = 1$ $x[0] = 0$

$$\begin{aligned}
 y[n] &= \gamma \sum_{m=0}^{n-1} \lambda^{(n-1)-m} \\
 &= \gamma (\lambda^{n-1} + \lambda^n + \dots + 1) = \\
 &= \gamma \frac{1-\lambda^n}{1-\lambda} \quad \text{if } |\lambda| < 1
 \end{aligned}$$

$$T[n] = T[0] + n \geq 0$$

$$\begin{aligned}
 \Delta T \frac{k_p}{T_h} &= \frac{1 - (1 - \Delta T \frac{k_p}{T_h})^n}{1 - (1 - \Delta T \frac{k_p}{T_h})} = \Delta T \frac{k_p}{T_h} \frac{1 - (1 - \Delta T \frac{k_p}{T_h})^n}{\Delta T \frac{k_p}{T_h}} \\
 &= (1 - (1 - \Delta T \frac{k_p}{T_h})^n) T[n]
 \end{aligned}$$

What do we know?

④

1) $(\Delta T \delta K_p) < 2$ or Unstable

$(\Delta T \delta K_p) < 1$ or Oscillates

2) if ΔT is smaller, K_p can be larger

3) Is large K_p Helpful?

Suppose we include heat loss \downarrow ^{Heat} _{nozzle}

$$T_n[n] = T[n-1] + \Delta T \delta_{th} u[n-1] - \Delta T \beta T_n[n-1]$$

\uparrow
Heat Loss

If $u[n] = K_p (T_d[n] - T_n[n])$

$$T_n[n] = T[n-1] + \Delta T \delta_{th} K_p (T_d[n-1] - T_n[n-1]) - \Delta T \beta T_n[n-1]$$

$$= (1 - \Delta T \delta_{th} K_p - \Delta T \beta) T_n[n-1] + \Delta T \delta_{th} K_p T_d[n-1]$$

Steady-State Analysis

$$y[n] = \lambda y[n-1] + \gamma u[n-1]$$

Suppose $u[n] = u[\infty] \quad \forall n > N_0$

Is there a $\lim_{n \rightarrow \infty} y[n] \Rightarrow y[\infty]$?

$$y[n] = \lambda^n y[0] + \gamma \sum_{m=0}^{n-1} \lambda^{(n-1)-m} u[m]$$

IF $|\lambda| < 1$ and $u[m] = u[\infty] \quad \forall n$

$$\lim_{n \rightarrow \infty} \lambda^n y[0] = 0$$

$$\lim_{n \rightarrow \infty} \gamma \sum_{m=0}^{n-1} \lambda^{(n-1)-m} u[\infty] = \gamma \frac{1}{1-\lambda} u[\infty]$$

$$\Rightarrow y[\infty] = \frac{\gamma}{1-\lambda} u[\infty] = \frac{\gamma}{1-\lambda} u[\infty]$$

For Nozzle heating with loss: $T_d[n] = T_d[\infty]$

$$\lambda = (1 - \Delta T (\gamma_{th} K_p + \beta)) \quad (\text{Assume } |\lambda| < 1)$$

$$\gamma = (\Delta T \gamma_{th} K_p)$$

$$\Rightarrow T[\infty] = \frac{\Delta T \gamma_{th} K_p}{1 - (1 - (\Delta T (\gamma_{th} K_p + \beta)))} T_d[\infty]$$

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OR

$$T[\infty] = \frac{\Delta T \gamma_{th} K_p}{\Delta T (\gamma_{th} K_p + \beta)} T_d[\infty]$$

$$T[A] = \frac{\gamma_{th} K_p}{\gamma_{th} K_p + \beta} T_d[\infty]$$

What do we want?

$$T[\infty] \approx T_d[\infty]$$

$$\frac{\gamma_{th} K_p}{\gamma_{th} K_p + \beta} \approx 1$$

True if $\gamma_{th} K_p \gg \beta$

\Rightarrow Make K_p as large as possible

\Rightarrow But want $|\lambda| < 1$

$$\lambda = (1 - \Delta T (\gamma_{th} K_p + \beta))$$

sets max of K_p !

6.3100 Lecture 2 Notes – Spring 2023

General solutions to first-order DT system, stability and convergence

Dennis Freeman and Kevin Chen

Outline:

1. Proportional control for first order discrete time system
2. Solutions to first order discrete time systems
3. Choosing K_p for a first order system: stability, steady-state error, and convergence

1. Proportional control for first order discrete time system

In the previous lecture, we introduced a simple first order discrete time (DT) system and the proportional controller. As a reminder, the controller and the first order system equations are given by:

$$\text{Proportional controller: } u[n] = K_p(T_d[n] - T_m[n])$$

$$\text{Plant: } \frac{T_m[n] - T_m[n-1]}{\Delta T} = \gamma u[n - 1]$$

We can substitute the first equation into the second equation:

$$\frac{T_m[n] - T_m[n - 1]}{\Delta T} = \gamma K_p(T_d[n - 1] - T_m[n - 1])$$

Simplifying this equation and collecting terms, we obtain the expression:

$$T_m[n] = (1 - \gamma K_p \Delta T) T_m[n - 1] + \gamma \Delta T K_p T_d[n - 1]$$

This equation has the form of a 1st-order DT system. We can write the general form as:

$$y[n] = \lambda y[n - 1] + b x[n - 1] \quad (\#1)$$

Here $y[n]$ is the variable we aim to solve, $x[n]$ is the input (driving) function we set, λ is the natural frequency (we will explain why later), and b is a multiplicative constant. In the next section, we will study the solution and property of equation 1 in detail.

2. Solutions to first order discrete time systems

We are going to solve equation (1) for several cases.

Case 1: $x[n]=0$ for all n . This is called zero-input response (ZIR)

The equation simplifies to $y[n] = \lambda y[n - 1]$.

The solution of this problem is given by:

$$y[n] = \lambda^n y[0]$$

This is a very simple case. Note that the steady state solution depends on the value of λ .

If $|\lambda| < 1$, then $y[\infty] = 0$.

If $\lambda = 1$, then $y[\infty] = y[0]$.

If $\lambda = -1$, then $y[n] = (-1)^n y[0]$. The solution does not converge.

If $|\lambda| > 1$, then $|y[\infty]| \rightarrow \infty$. The solution does not converge.

Case 2: $x[n] = 1$ for all n , and $y[0] = 0$. This is called zero-state response (ZSR).

Note: $x[n] = 1$ is not limiting. Through invoking linearity and time invariance (next lecture), we can relax the solution form by letting $x[n]$ be any arbitrary function.

In this case, equation (1) becomes

$$y[n] = \lambda y[n-1] + b$$

First, assuming the solution converges, let us find $y[\infty]$. We have

$$y[\infty] = \lambda y[\infty] + b$$

$$y[\infty] = \frac{b}{1 - \lambda}$$

Next, let's find $y[n]$. We can write $y[n]$ iteratively, as:

$$\begin{aligned} y[0] &= 0 \\ y[1] &= \lambda y[0] + b = b \\ y[2] &= \lambda y[1] + b = \lambda b + b \\ y[3] &= \lambda y[2] + b = \lambda^2 b + \lambda b + b \end{aligned}$$

Following this pattern, we get:

$$y[n] = \sum_{m=0}^{n-1} \lambda^m b \quad \text{and} \quad y[\infty] = \sum_{m=0}^{\infty} \lambda^m b$$

This implies

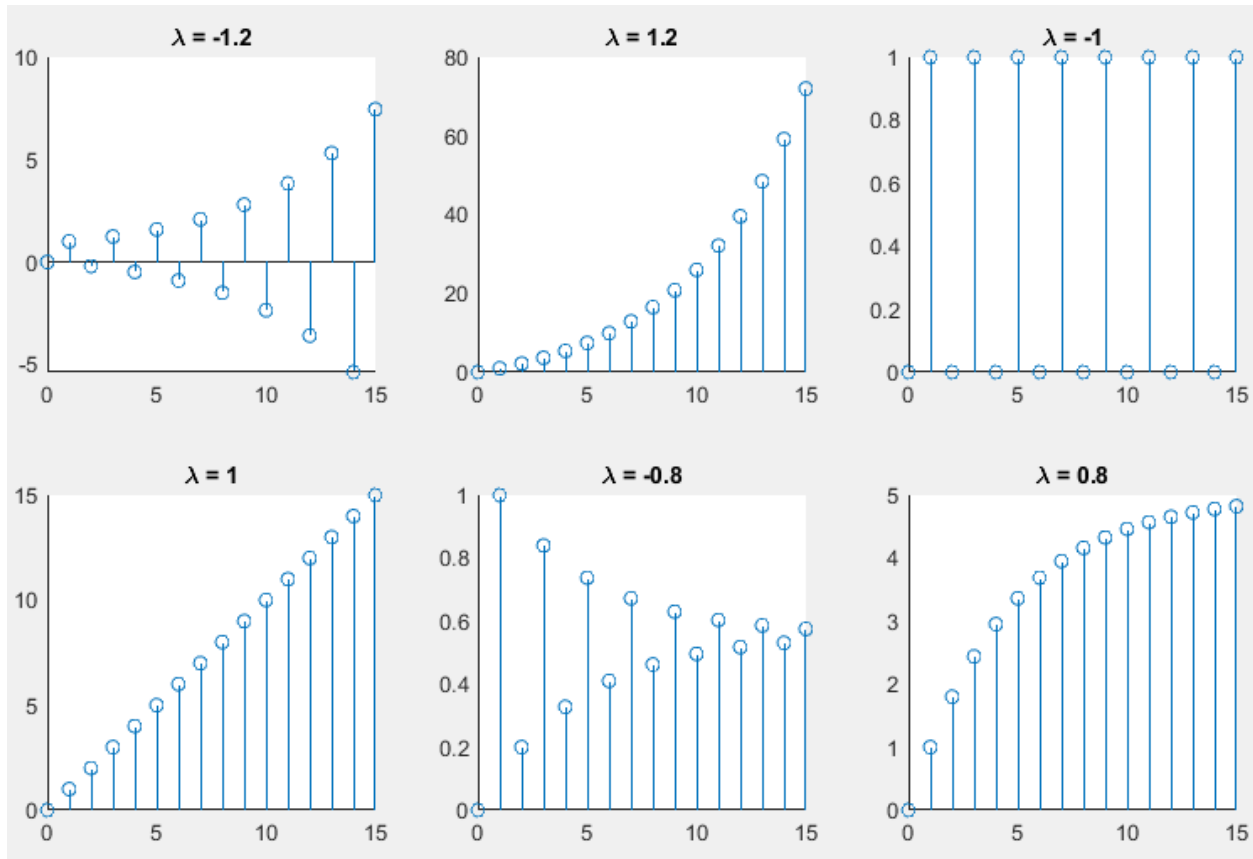
$$y[n] = y[\infty] - \sum_{m=n}^{\infty} \lambda^m b = y[\infty] - \lambda^n \sum_{m=0}^{\infty} \lambda^m b = y[\infty] - \lambda^n y[\infty] = y[\infty](1 - \lambda^n)$$

Substituting the solution of $y[\infty]$, we obtain:

$$y[n] = \frac{b}{1 - \lambda} (1 - \lambda^n)$$

Let's interpret what the solution looks like. Suppose $b = 1$, we consider 6 scenarios:

- (1) $\lambda > 1$. Solution diverges
- (2) $\lambda < -1$. Solution diverges
- (3) $\lambda = -1$. Solution diverges
- (4) $\lambda = 1$. Solution diverges
- (5) $0 < \lambda < 1$. Solution converges
- (6) $-1 < \lambda < 0$. Solution converges



Now we know how to solve 1st order DT systems, let's return to our 3D-printing controller example. It's worthwhile to emphasize again that the value of λ is crucial for the solution to either diverge or converge. In a control system, we need to design stable systems through setting the value of λ .

3. Choosing K_p for a first order system: stability, steady-state error, and convergence

Returning to the 3D-printing example, the system equation is given by:

$$T_m[n] = (1 - \gamma K_p \Delta T) T_m[n - 1] + \gamma \Delta T K_p T_d[n - 1]$$

The key question is how should we choose K_p to construct a "good" controller?

First, we can pattern-match to find λ and b . We have:

$$\begin{aligned}\lambda &= 1 - \gamma K_p \Delta T \\ b &= \gamma \Delta T K_p T_d[n]\end{aligned}$$

Here we can assume the desired temperature is constant.

There are several key metrics we need to consider:

(1) Stability:

$$\begin{aligned}-1 &< \lambda < 1 \\ -1 &< 1 - \gamma K_p \Delta T < 1 \\ \frac{2}{\gamma \Delta T} &> K_p > 0\end{aligned}$$

For this control problem, K_p must be chosen in the desired range to guarantee system stability (that is $T_m[\infty]$ is a finite number).

(2) Steady-state error:

We can use the steady-state solution to evaluate if there is any steady state error. We have:

$$T_m[\infty] = y[\infty] = \frac{b}{1 - \lambda} = \frac{\gamma \Delta T K_p T_d[\infty]}{1 - (1 - \gamma K_p \Delta T)} = T_d[\infty]$$

In this particular problem, $T_m[\infty] = T_d[\infty]$. As long as the system is stable, then there is no steady-state error. This is only true for this particular example. In the next class, we are going to see an example where K_p influences the steady state error.

(3) Convergence rate:

Thus far, the two conditions only give us a range of valid K_p . What is the optimal K_p ? There are many metrics to optimize for. In this example, let's consider the goal of making the measured temperature $T_m[n]$ approach its desired value $T_d[n]$ as soon as possible. Going back to the general solution:

$$y[n] = \frac{b}{1 - \lambda} (1 - \lambda^n)$$

What if we let $\lambda = 0$? Then we have:

$$y[1] = \frac{b}{1} (1) = b$$

This is a very nice result because the temperature approaches the desired value in 1 step. This is very fast convergence. Realistically, it may be influenced by external noises, and it usually requires a large control input. Those tradeoffs are things we need to consider when designing a realistic controller.