

# Dynamic System Modeling and Control Design

## Experimental Characterization of First Order Systems

September 16, 2024

# Outline

- 1 Estimation of System Properties
- 2 Python Tools for Analyzing a First Order System
- 3 Nominal and Perturbation Control Signals
- 4 Complex Numbers and Natural Frequencies

## Recap: First Order Systems

In the previous lectures, we discussed how to solve first order systems:

$$y[n] = \lambda y[n - 1] + bx[n - 1].$$

- We saw how the natural frequency,  $\lambda$ , determines the stability, steady-state, and convergence of our system.
- We analyzed the zero state response and saw how linearity and time-invariance allow us to study arbitrary driving signals.

## Recap: First Order with Loss

We made progress towards designing a “realistic” system which includes some loss:

$$y[n] = (1 + \Delta T\beta)y[n - 1] + \gamma\Delta T u[n - 1].$$

- $y[n]$  is the output of our system, e.g., the measured temperature,
- $u[n]$  is the control signal we design,
- $\Delta T$  relates to the sampling rate of the microcontroller,
- The parameters  $\beta$  and  $\gamma$  are system properties that we want to measure.

## Method for Measuring $\beta, \gamma$

We can design a reasonable control signal  $u[n]$  with the goal of measuring  $\beta, \gamma$ .

- In particular, with this goal we don't care about stability, following some trajectory, etc.

$$y[n] = (1 + \Delta T \beta)y[n - 1] + \gamma u[n - 1].$$

Let's try two choices for  $u[n]$ :

- Feedback:  $u[n] = K_p(x[n] - y[n])$ ,
- Feedforward:  $u[n] = K_{ff}x[n]$ .

## Feedback Control, $\beta$ , and $\gamma$

Given the feedback controller  $u[n] = K_p(x[n] - y[n])$ , the system equation becomes:

$$\begin{aligned}
 y[n] &= (1 + \Delta T\beta)y[n - 1] + \gamma\Delta Tu[n - 1], \\
 y[n] &= (1 + \Delta T\beta)y[n - 1] + \gamma\Delta TK_p(x[n - 1] - y[n - 1]), \\
 y[n] &= \underbrace{(1 + \Delta T\beta - \gamma\Delta TK_p)}_{\lambda} y[n - 1] + \gamma\Delta TK_p x[n - 1].
 \end{aligned}$$

A bit problematic; the natural frequency changes as we change  $K_p$ .

## Feedforward Control, $\beta$ , and $\gamma$

Given the feedforward controller  $u[n] = K_{ff}x[n]$ , the system equation becomes:

$$\begin{aligned}y[n] &= (1 + \Delta T\beta)y[n - 1] + \gamma\Delta Tu[n - 1], \\y[n] &= \underbrace{(1 + \Delta T\beta)}_{\lambda} y[n - 1] + \gamma\Delta TK_{ff}x[n - 1].\end{aligned}$$

Much better! Now, we can estimate  $\lambda$  to back-calculate  $\beta$ .

## Deriving $\beta$ from Computing $\lambda$

We need find two relationships between  $\beta$  and  $\gamma$ . One common approach is to look at the step response of the system.

- In particular, we can analyze the output of our system when the input function  $x[n] = 1$  for all  $n \geq 0$  and 0 otherwise.

We can calculate  $\lambda$  by measuring the time—denoted  $n^*$ —required for  $y[n]$  to reach half of its steady-state  $y[\infty]$ ,

$$\lambda^{n^*} = 0.5 \Rightarrow n^* \log_e \lambda = \log_e 0.5 \Rightarrow \lambda = \exp\left(\frac{1}{n^*} \log_e 0.5\right).$$



## Using the Steady-State Equation

Then, we can back-calculate for  $\beta$ :

$$\beta = \frac{\exp\left(\frac{1}{n^*} \log_e 0.5\right) - 1}{\Delta T}.$$

Next, we need to solve for  $\gamma$ . Let's look at the steady state condition:

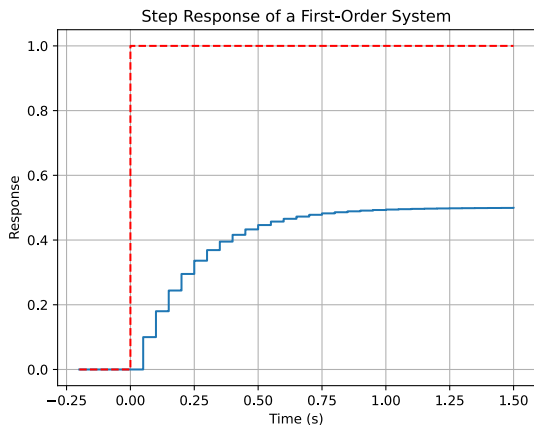
$$y[\infty] \approx y[\infty](1 + \Delta T\beta) + \gamma\Delta TK_{ff}.$$

Solving for  $\gamma$ , we find:

$$\gamma = -\frac{y[\infty]\beta}{K_{ff}} = -\frac{y[\infty] \left(\exp\left(\frac{1}{n^*} \log_e 0.5\right) - 1\right)}{K_{ff}\Delta T}.$$

## Check Yourself: Deriving $\beta, \gamma$ for Unknown System

Consider the following plot of the step-response of a first-order system:

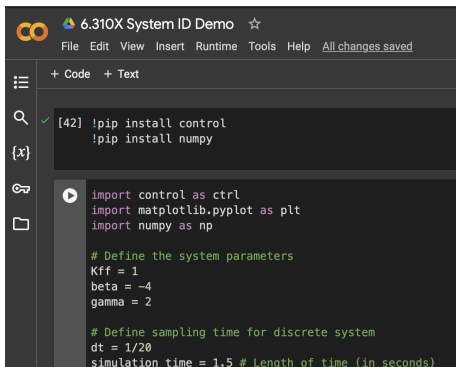


Here, a feedforward controller is used with  $K_{ff} = 1$ . Use the method described to measure the system parameters  $\gamma$  and  $\beta$ .

# Numerical Tools for Analyzing Control Systems

First order systems are simple enough to solve manually. However, the algebra becomes increasingly tedious for higher order systems.

Python has a `control` library which is useful for modeling systems. A Google Colab notebook for the following code is available ([here](#)).



```
6.310X System ID Demo ☆
File Edit View Insert Runtime Tools Help All changes saved

+ Code + Text

[42] !pip install control
      !pip install numpy

import control as ctrl
import matplotlib.pyplot as plt
import numpy as np

# Define the system parameters
Kff = 1
beta = -4
gamma = 2

# Define sampling time for discrete system
dt = 1/20
simulation time = 1.5 # Length of time (in seconds)
```

## A (Condensed) Look at the Code..

```
# Define the system parameters
```

```
Kff = 1
```

```
beta = -4
```

```
gamma = 2
```

```
dt = 1/20
```

```
# Define the transfer function
```

```
num = np.array([0, dt*gamma*Kff])
```

```
den = np.array([1, -(1+dt*beta)])
```

```
# Define our first-order system
```

```
system = ctrl.TransferFunction(num,den,dt=dt)
```

```
# Get step response
```

```
time = np.arange(0, 1.5, dt) # Create the time vector
```

```
_, response = ctrl.step_response(system, T=time)
```

## Obtaining the Transfer Function

In the coming weeks, we'll explain the transfer function. For now, let's see how we obtained it from “pattern matching”.

Rearranging our system function, we obtain:

$$y[n] - (1 + \Delta T\beta)y[n - 1] = \gamma\Delta TK_{ff}x[n - 1].$$

The denominator contains the coefficients in front of  $y[n]$  and  $y[n - 1]$ ; the numerator contains the coefficients in front of  $x[n]$  and  $x[n - 1]$

## Modifying Code for Feedback Controller

```
# Define the system parameters
Kff = 1
Kp = 15
beta = -4
gamma = 2
dt = 1/20

# Define the transfer function
num = np.array([0, dt*gamma*Kff])
den = np.array([1, -(1+dt*beta) + dt*gamma*Kp])

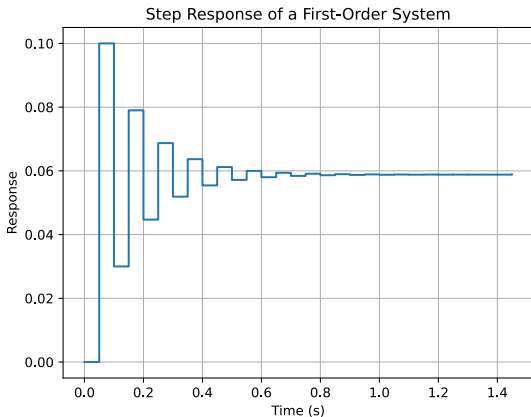
# Define our first-order system
system = ctrl.TransferFunction(num,den,dt=dt)

# Set up timing variables
time = np.arange(0, 1.5, dt) # Create the time vector

# Get step response
_, response = ctrl.step_response(system, T=time)
```

# Simulating a First Order System with Feedback

Running the code, we obtain the following step response:



# Nominal and Perturbation Control Signals

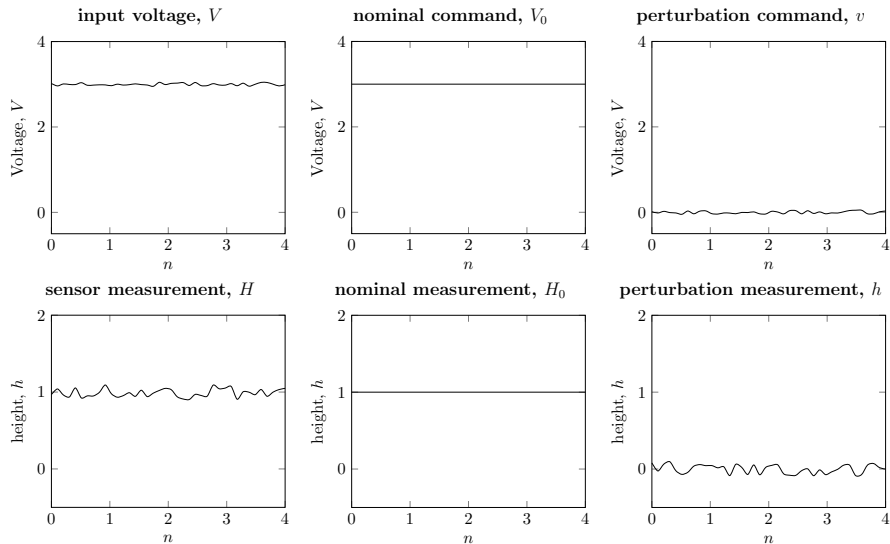
Often time we control a system relative to an equilibrium state. Consider modeling a quadrotor that is hovering at a set point.

There is a large nominal input command (some driving voltage) and a large nominal altitude set point (height).

- These nominal commands do not require feedback control.
- We are interested in the “perturbation” control signals and sensor output.

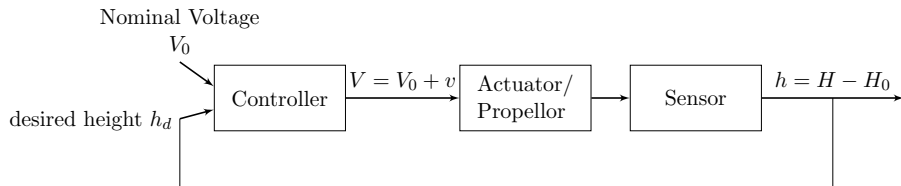


## Visualizing Nominal vs. Perturbation Signals



# Block Diagrams with Nominal and Perturbation Quantities

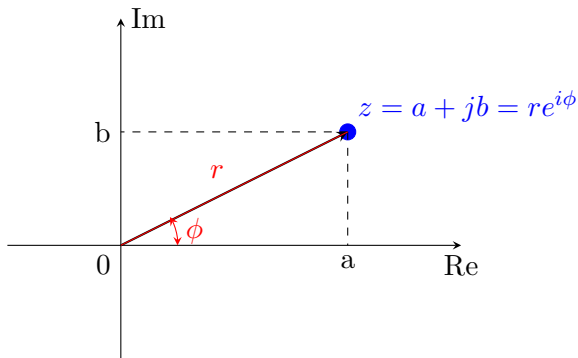
When we draw block diagrams, we need to specify which are the nominal quantities and which are the perturbation quantities we control:



Here,  $V$  is the perturbed voltage (nominal + perturbation);  $h$  is the perturbed height.

## Complex Number Definitions

Complex numbers are critical when analyzing higher order systems. We will use  $j$  to denote the imaginary number,  $j = \sqrt{-1}$ .



$$r = \sqrt{a^2 + b^2}, \phi = \tan^{-1}(b/a), a = r \cos \phi, b = r \sin \phi.$$

# Complex Numbers and Analyzing Stability

Two important relations for  $j$ :

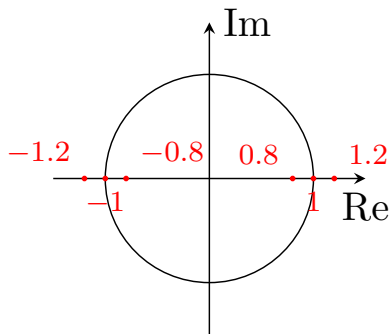
$$j^2 = -1, \quad \frac{1}{j} = \frac{j}{j^2} = -j$$

We can use the polar form to evaluate the stability of our system:

$$\lambda^n = (re^{j\phi})^n = r^n e^{jn\phi}.$$

The phase  $e^{jn\phi}$  has an amplitude of 1, and the  $r^n$  term determines whether the system is stable. Importantly, the amplitude of natural frequencies must always be less than 1.

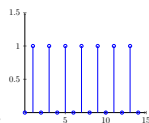
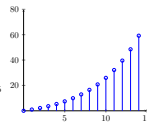
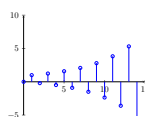
## Natural Frequencies on the Unit Circle



$\lambda = -1.2$

$\lambda = 1.2$

$\lambda = -1$



$\lambda = 1$

$\lambda = -0.8$

$\lambda = 0.8$

